

CISC Self-directed Brokerage Account Fees and Commissions Schedule

ABC Company Profit Sharing 401(k) Plan

Please read carefully

This schedule contains information about the fees and charges that apply to your account and your transactions. Please note that fees and other information are subject to change without notice.

Equities	Electronic*	Broker Assisted
Market, Limit, Stop and Stop-Limit Orders	\$14.95 per trade for up to 1,000 shares plus \$.02 for each share thereafter	\$55.00 per trade for the first 1,000 shares plus \$.02 for each share thereafter
Mutual Funds	Electronic*	Broker Assisted
Load Funds^	No transaction fee charged (buy) \$24.95 per trade (sell or exchange)	No transaction fee charged (buy) \$34.95 per trade (sell or exchange)
No Load Funds^	\$24.95 per trade (buy, sell or exchange)	\$34.95 per trade (buy, sell or exchange)
No Transaction Fee (NTF) Funds+	No transaction fee charged	No transaction fee charged
Unit Investment Trusts	Electronic*	Unit Investment Trusts Broker Assisted
Unit Investment Trusts (UIT)	Not applicable	Sales charges apply. Please refer to the prospectus. Minimum charge is \$35.00 per trade.
Fixed Income	Electronic*	Fixed Income Broker Assisted
Municipal, Corporate & Agency Bonds, New Issues	Not applicable	\$4.00 per bonds 1-50, plus \$3.00 per bond thereafter (\$45 minimum)
Treasury Auctions~, Bills, Bonds, Notes, Strips	Not applicable	\$50 per trade
Certificate of Deposit (CDs)	Not applicable	No commission
Options**	Electronic*	Options Broker Assisted
Option Trades	\$24.95 plus \$1.75 per contract	\$34.95 plus \$1.75 per contract
Option Assignments and Exercises	Not applicable	Published broker-assisted equity rates apply
Annual Account Fees	Fee	
Maintenance Fee	\$100.00 per account per year	

CISC Self-directed Brokerage Account Services	
Account Transfers Outgoing	\$50 per account
Bond Redemptions Safekeeping	\$20 per item
Customer Name Safekeeping	\$48 annually per position
Foreign Equities Surcharge	\$75 per trade
Foreign Fixed Income Surcharge	\$125 per trade

Over



CISC Self-directed Brokerage Account Services (continued)	
Foreign Securities Safekeeping	\$120 annually per position
Foreign Security Transfer	Charges as incurred
Legal Returns	\$25 per item
Legal Transfers	\$25 per item
Limited Partnership Review	\$100 per partnership
Limited Partnership Annual Administration	\$35 per partnership
Limited Partnership Establishment	\$50 per partnership
Overnight/Express Mail – Domestic	\$15
Overnight/Express Mail – International	\$25
Register & Ship Securities	\$50 per issue
Re-organization – Mandatory	\$25 per event
Re-organization – Voluntary	\$40 per event
Statement Copies	\$10 per statement
Wire Transfers (domestic and foreign)	\$25 per wire

*Definition of Electronic Trades: Trades placed through one of our electronic channels, including online (Chase Online) and our automated phone services (Brokerage by Phone). Certain securities may not be available through all electronic trading channels.

^Investors should carefully consider the investment objectives and risks, as well as charges and expenses of the mutual fund before investing. To obtain a prospectus, contact the SDA Service Center or visit the fund company's website. The prospectus contains this and other information about the mutual fund. Read the prospectus carefully before investing.

+Please be aware that certain no transaction fee funds may be subject to separate and additional redemption fees imposed by the particular fund. Refer to the Fund's prospectus for details.

Foreign bonds are subject to additional costs for foreign currency translation, foreign clearing charges and safekeeping fees.

~Treasury Auctions require a minimum investment of \$5,000.00

**Options are not suitable for all investors.

Annual maintenance fees are assessed to any open account as of September 30th of the previous year. The fee will be automatically charged to the sweep fund in your self-directed brokerage account (SDA) in the 1st quarter of every year. If you have insufficient funds, money may be transferred from your plan's core investments to your SDA to execute trades or cover outstanding debit balances. CISC may also liquidate your most recent purchase in order to cover any outstanding debit balances in the SDA's money market sweep fund. Broker-assisted commissions will apply and you will be responsible for any losses incurred.

Recordkeeping services for the retirement plan provided by J.P. Morgan Retirement Plan Services LLC (JPMRPS); retirement brokerage services offered by Chase Investment Services Corp. (CISC), member NASD/SIPC. JPMRPS and CISC are affiliates of J.P. Morgan Chase & Co.

NOT FDIC INSURED	NO BANK GUARANTEE	MAY LOSE VALUE
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